

DECLARATION FOR UTILITY PATENT APPLICATION (37 C.F.R. 1.63)		Attorney Docket No.	D398.12-0001
		First Named Inventor	Richard L. Dubay
		COMPLETE IF KNOWN	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing (Surcharge (37 C.F.R. 1.16(e)) Required)	Application Number	
		Filing Date	Herewith
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
UNIVERSAL SLIDE ASSEMBLY FOR MOLDING AND CASTING SYSTEMS

the specification of which:

☒ is attached hereto OR

was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Certified Copy Attached? Yes No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/413,992	September 26, 2002

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

DIRECT ALL CORRESPONDENCE TO:

Customer Number	00164
Attention	David R. Fairbairn
Telephone	(612) 339-1863
Fax	(612) 339-6580

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Sole or First Inventor:	
Given Name (First and middle (if any))	Family Name or Surname
Richard L.	Dubay
Inventor's signature	Date: 8/15/03
Residence	Citizenship: USA
Mailing Address	11748 Crocus Street
City, State, Country	Coon Rapids, MN 55433, USA

IMPORTANT NOTICE REGARDING SMALL ENTITY STATUS

Attorney Docket No.

D389.12-0001

TO: PERSON MAKING DECISIONS RELATED TO FILING OF APPLICATION

You may qualify for reduced government fees in patent matters before the U.S. Patent and Trademark Office as a "Small Entity" if you are an **Independent Inventor** (37 C.F.R. 1.27(a)(1)), a **Small Business Concern** (37 C.F.R. 1.27(a)(2)) or a **Nonprofit Organization** (37 C.F.R. 1.27(a)(3)). To qualify, you must not have assigned, conveyed, granted, or licensed, nor be under any obligation to assign, convey, grant, or license, any rights in the invention to any person or concern which would not be qualified as a Small Entity.

A **Small Business Concern** is a company in which the number of employees, including those of all affiliates of the Company, does not exceed 500 persons. Employees are those persons employed on a full-time, part-time or temporary basis during the previous twelve months of the Company. The number of employees of the Company (including affiliates) is the average number of persons employed during each of the pay periods over the twelve month period.

Under certain circumstances, a license to a federal agency resulting from a funding agreement with that agency may not constitute a license under the definition of the Small Business Administration. If you have granted a license to a federal agency, please contact us for further information.

If you have granted any rights in the invention to an entity which itself is not a Small Entity, you do not qualify for reduced government fees..

If your status changes so that you no longer qualify for reduced fees, please let us know immediately so that we can pay the correct fees.

PLEASE CHECK THE APPROPRIATE BOX BELOW TO INDICATE WHETHER YOU QUALIFY AS A SMALL ENTITY FOR PAYMENT OF REDUCED FEES BEFORE THE U.S. PATENT AND TRADEMARK OFFICE:



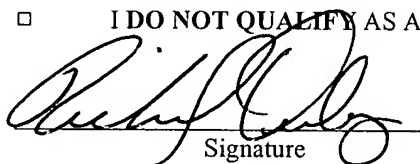
I QUALIFY AS A SMALL ENTITY AS DEFINED ABOVE.

This selection is appropriate if you are:

An Independent Inventor;
A Small Business Concern; or
A Nonprofit Organization.



I DO NOT QUALIFY AS A SMALL ENTITY.


Signature

8/15/03

Date

This form (with a checkmark and your signature) should be returned to us with the patent application and any documents for filing with the application. If we do not receive this form back from you, we will assume that you do not qualify for Small Entity status. If you have any questions associated with Small Entity status, please do not hesitate to contact me.

POWER OF ATTORNEY

Attorney Docket No.

D398.12-0001

First Named Inventor : Richard L. Dubay

Title : UNIVERSAL SLIDE ASSEMBLY FOR MOLDING AND CASTING SYSTEMS

In the patent application:

X identified above (and submitted to the Patent and Trademark Office herewith).

— filed on _____ as Application No. _____.

I appoint the attorneys and agents associated with Customer Number 00164 to prosecute the patent application identified above and to transact all business in the Patent and Trademark Office connected therewith, including full power of association, substitution and revocation.

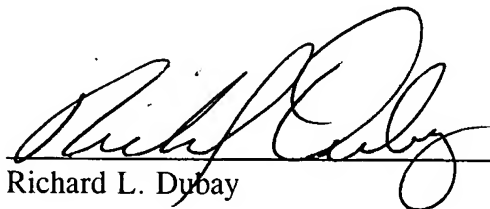
I ratify all prior actions taken by Kinney & Lange, P.A. or the attorneys and agents mentioned above in connection with the prosecution of the above-mentioned patent application.

I authorize Kinney & Lange, P.A. to mark the appropriate space above and to insert the filing date and application number of the application, as appropriate.

I authorize the attorneys and agents named herein to accept and follow instructions from Kinney & Lange, P.A. as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the attorneys and agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, the attorneys and agents named herein will be so notified by the undersigned.

Please address all correspondence and telephone calls to Customer Number 00164, attention David R. Fairbairn, Telephone (612) 339-1863, Fax (612) 339-6580.

Signature:


Richard L. Dubay

Dated:

8/15/03

IMPORTANT NOTICE REGARDING PUBLICATION OF PATENT APPLICATION

Attorney Docket No.

D398.12-0001

TO: PERSON MAKING DECISIONS RELATED TO FILING OF APPLICATION

Under 37 C.F.R. § 1.211, U.S. nonprovisional patent applications filed on or after November 29, 2000 will be published after 18 months from the earliest filing date from which priority is claimed, unless the application is filed with a proper nonpublication request. A nonpublication request must include a certification that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or internationally under a multilateral agreement (such as the Patent Cooperation Treaty (PCT)), that requires publication 18 months after filing. If you plan to file a foreign or PCT patent application on this invention, the application must be allowed to publish in the United States.

As mentioned above, if you do not plan to file a foreign or PCT application on this invention, you may file a nonpublication request at the same time a patent application is filed. Should you later decide that you would like the application to be published, or that you would like to file a foreign or PCT application on this invention, a request to rescind the nonpublication request may be filed to allow the application to be published.

You should also consider potential advantages of allowing the patent application to be published. Damages may be available in certain instances for infringing acts performed by others after publication of the application but before grant of a patent. Therefore, if you have reason to believe that infringement of the patent is likely to occur before the patent is granted, it may be advantageous to allow the application to be published, or even to request early publication of the application (which must be accompanied by a \$300 publication fee).

If the application is published, a \$300 publication fee will be assessed when the patent is allowed, along with the customary issue fee.

PLEASE CHECK THE APPROPRIATE BOX BELOW TO INDICATE YOUR INSTRUCTIONS AS TO PUBLICATION OF THIS APPLICATION:



THE APPLICATION SHOULD BE ALLOWED TO PUBLISH IN THE UNITED STATES.

This selection is appropriate if:

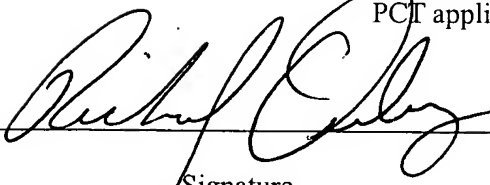
You wish for the application to be published; or

You plan to file a foreign or PCT application on this invention.



THE APPLICATION SHOULD NOT BE ALLOWED TO PUBLISH IN THE UNITED STATES. THEREFORE, PLEASE FILE A NONPUBLICATION REQUEST WITH THE APPLICATION.

This selection is **only** appropriate if you do **not** plan to file a foreign or PCT application on this invention.



Signature

8/15/03

Date

This form (with a checkmark and signature indicating your instructions) should be returned to us with the patent application and any documents for filing with the application. If we do not receive this form back from you, the application **will** be published by default. If you have any questions about the issues associated with publication of patent applications in the United States, please do not hesitate to contact me.